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Customer Number

Patent
Case No.: 59373US002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CONNER, ARLIE R.

Application No.: 10/776152

Group Art Unit: 2875


Filed: February 11, 2004

Examiner: Unknown

Title: ILLUMINATION SYSTEM

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]	
I hereby certify that this correspondence is being:	
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Dear Sir:


Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set

forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

November 2, 2005 By: 
Date Anna A. Kobilansky, Reg. No.: 53,146
Telephone No.: 651-737-8345

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 2

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2003/147055	08-07-03	Yokoyama	
	A2	US- 2005/0094401	05-05	Magarill	
	A3	US- 2005/0174768	08-05	Conner	
	A4	US- 2005/0174771	08-05	Conner	
	A5	US- 2005/0174775	08-05	Conner	
	A6	US- 2005/0134811	06-05	Magarill	
	A7	US- 1,428,662	09-22	Walter	
	A8	US- 1,451,893	04-23	Walter	
	A9	US- 2,587,956	03-52	Roy	
	A10	US- 5,839,823	11-24-98	Hou	
	A11	US- 5,900,981	05-04-99	Oren	
	A12	US- 5,969,872	10-19-99	Oren	
	A13	US- 6,469,755	10-22-02	Adachi	
	A14	US- 6,491,443	12-10-02	Serizawa	
	A15	US- 6,788,471	09-04	Wagner	

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	WO	2002/065184	08-22-02			
	B2	WO	2004/043076	5-21-04			
	B3	EP	0 795 771	09-17-97			
	B4	EP	0 083 527	07-13-83			
	B5	EP	1 363 460	11-19-03			
	B6	EP	1 347 653	09-24-03			
	B7	EP	0 493 800	07-08-92			
	B8						

*Examiner:

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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 2 of 2	Application Number	10/776,152
	Filing Date	February 11, 2004
	First Named Inventor	Arlie R. Conner
	Art Unit	2875
	Examiner Name	Khanh H. Le
	Attorney Case Number	59373US002

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Light Emitting Diodes 2003, October 15-17, 2002, "Optical Design for LED Based Devices" Juan Manuel Tejjido, Sony International (Europe) GmbH, Sony Corporate Laboratories Europe	
	C2		
	C3		

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